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Docket No. 4958120058 00  
(PATENT)

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Dated: December 2, 2005 Signature: *[Signature]* (Beth R. Mandel)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kenneth E. ANDERSON et al.

Application No.: 10/680,780

Filing Date: October 6, 2003

For: POLYTOPIC MULTIPLEX  
HOLOGRAPHY

Group Art Unit: 2872

Examiner: Leonidas Boutsikaris

NOTICE OF CHANGE OF STATUS and  
PAYMENT OF DEFICIENCY OWED  
UNDER 37 C.F.R. §1.28(c)Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It has recently come to our attention that a good faith error appears to have been made regarding the entity status of the above-referenced application and that some fee payments appear to have been made in error claiming small entity discount.

12/05/2005 SLUANG1 00000006 031952 10680780

01 FC:1001	790.00 DA
02 FC:1201	800.00 DA
03 FC:1202	3200.00 DA

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IFW did not receive page 3 of 7

*[Signature]*

Application No. 10/680,780

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As required under 37 C.F.R. § 1.28(c), to correct these oversights and in order for the error in payments to be excused we hereby submit an itemization of all erroneous small entity payments and the differential fees, together with the deficiency payment.

**Itemization of all erroneous small entity payments and the differential fees:**

TYPE OF FEE	DATE PAID	SMALL ENTITY FEE PAID	CURRENT FEE AMOUNT	LARGE ENTITY DIFFERENTIAL FEE
Filing Fee	October 6, 2003	\$385.00	\$1,000.00	\$615.00
63 Extra Claims	October 6, 2003	\$567.00	\$3,150.00	\$2,583.00
2 Extra Independent Claims	October 6, 2003	\$86.00	\$400.00	\$314.00
1 Extra Claims	May 11, 2005	\$25.00	\$50.00	\$25.00
2 Extra Independent Claims	May 11, 2005	\$200.00	\$400.00	\$200.00
<b>TOTALS</b>		<b>\$1,263.00</b>	<b>\$5,000.00</b>	<b>\$3,737.00</b>
		(Total Fees Previously Paid)	(Current Fee Amount)	(Corrected Fee Amount to be charged to Deposit Account No. 03-1952)

Based upon the above, Applicant believes the differential between the small entity fees previously paid and the large entity fees now owing should total \$3,737.00. Applicant requests this *differential fee* be paid from our Deposit Account No. 03-1952. Pursuant to 37 C.F.R. § 1.28(c), the calculated deficient "large entity fees" are based upon the amount of the fee in effect at the time the deficiency is paid in full.

Adjustment date: 12/05/2005 SLUANG1  
 10/14/2003 HMARZ11 00000012 031952 10680780  
 01 FC:2001 385.00 CR  
 02 FC:2201 86.00 CR  
 03 FC:2202 567.00 CR

Adjustment date: 12/05/2005 SLUANG1  
 05/12/2005 SZEWDIE1 00000070 031952 10680780  
 01 FC:2201 200.00 CR  
 02 FC:2202 25.00 CR

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In the event the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. **495812005800**.

Respectfully submitted,

Dated: December 2, 2005

By: 

Raj S. Dave

Registration No.: 42,465

MORRISON &amp; FOERSTER LLP

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Washington, D.C. 20006-1888

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DENVER, NORTHERN VIRGINIA,  
ORANGE COUNTY, SACRAMENTO,  
WALSLEY CREEK, GENESEE CITY  
TOKYO, LONDON, BEIJING,  
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NAME:	FACSIMILE:	TELEPHONE:
U.S. Patent and Trademark Office	(571) 273-8300	

**FROM:** Raj S. Davé (Reg. No. 42,465)**DATE:** December 2, 2005

Number of pages with cover page:	7
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Preparer of this slip has confirmed that facsimile number given is correct: 10885/brm2**CAUTION - CONFIDENTIAL**

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**Contents of this Transmission:**

Inventor: Kenneth E. ANDERSON et al. Attorney Docket No.: 495812005800  
 Application No.: 10/680,780 Filing Date: October 6, 2003  
 Group Art Unit: 2872 Examiner: Leonidas Boutsikaris

**Title:** POLYTOPIC MULTIPLEX HOLOGRAPHY**Document:**

Transmittal (1 page)  
 Fee Transmittal w/duplicate copy for fee processing (2 pages)  
 Notice of Change of Status and Payment of Deficiency Owed (3 pages)  
 Facsimile Return Receipt Cover Sheet (1 page)

Sender's Initials: RSD2/brm2 Date: December 2, 2005

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PTO:SR/21 09/04)

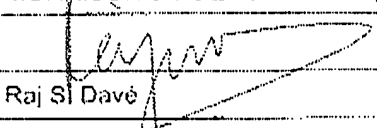
Approved for use through 07/31/2005. OMB 0551-00 1

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/680,780	
	Filing Date	October 6, 2003	
	First Named Inventor	Kenneth E. ANDERSON	
	Art Unit	2872	
	Examiner Name	Leonidas Boutsikaris	
Total Number of Pages in This Submission	6	Attorney Docket Number	495812005800

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form w/duplicate copy for fee processing (2 pages)  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> Alter Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Notice of Change of Status and Payment of Deficiency Owed (3 pages) 2. Facsimile Return Receipt Cover
<div style="border: 1px solid black; padding: 2px; min-height: 40px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No. 20872)		
Signature			
Printed name	Raj S. Davé		
Date	December 2, 2005	Reg. No.	42,465

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Dated: December 2, 2005

Signature:  (Beth R. Mandel)

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PTO/SB/17 (2-04v2)

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<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete if Known</b> Application Number 10/680,780 Filing Date October 6, 2003 First Named Inventor Kenneth E. ANDERSON Examiner Name Leonidas Boutsikaris Art Unit 2872 Attorney Docket No. 495812005800	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT (\$)</b> 3,737.00			

**METHOD OF PAYMENT (check all that apply)**

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify):
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP				
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	0
Design	300	100	100	50	130	65	0
Plant	200	100	300	150	160	80	0
Reissue	300	150	500	250	600	300	0
Provisional	200	100	0	0	0	0	0

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
20	x	=
		0
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
3	x	=
		0

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
100	150	(round up to a whole number) x		0

**4. OTHER FEE(S)**

Non-English Specification	\$130 fee (no small entity discount)	0
Other (e.g., late filing surcharge):	Deficiency payment - change of status	\$3,737.00

<b>SUBMITTED BY</b>		Registration No. 42,465	Telephone (202) 887-1500
Signature		(Attorney/Agent)	Date
Name (Print/Type)	Raj S. Davis		December 2, 2005

va-144389